

ITEM # C2

STATE OF TEXAS            )  
  )  
COUNTY OF TRAVIS        )

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$2,007,937.28 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 16 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date:            April 22, 2014

\_\_\_\_\_  
COUNTY JUDGE

\_\_\_\_\_  
COMMISSIONER, PRECINCT 1

\_\_\_\_\_  
COMMISSIONER, PRECINCT 2

\_\_\_\_\_  
COMMISSIONER, PRECINCT 3

\_\_\_\_\_  
COMMISSIONER, PRECINCT 4

**ATTACHMENT A**

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/11/2014

TIME: 9:00 AM

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	PORT OF SEATTLE <u>GO TAXABLE</u>	FUND NAME:	<u>OPERATING</u>
PAR VALUE:	\$ 1,000,000.00	SAFEKEEPING NO:	<u>P 31131</u>
COUPON RATE:	<u>2.254%</u>	PRICE:	<u>101.26100</u>
MATURITY DATE:	<u>12/1/2014</u>	US TREASURY CONVENTION YLD	<u>0.2510%</u>
PRINCIPAL:	\$ 1,012,610.00	PURCHASED THROUGH:	<u>FIRST SOUTHWEST</u>
ACCRUED INT:	\$ 8,327.28	BROKER:	<u>LINDA CALLAWAY</u>
TOTAL DUE:	\$ 1,020,937.28	CUSIP #:	<u>735371LH7</u>
TRADE DATE:	<u>4/11/2014</u>	SETTLEMENT DATE:	<u>4/14/2014</u>

AUTHORIZED BY:



# ATTACHMENT A

DESCRIPTION:	0 PORT OF SEATTLE	BROKER:	FIRST SOUTHWEST LINDA CALLAWAY
PAR VALUE:	\$ 1,000,000.00	CUSIP:	735371LH7
SETTLEMENT DATE:	4/14/2014	YIELD:	0.2510%
MATURITY DATE:	12/1/2014	COUPON RATE:	2.254%

FUND#	FUND NAME		AMOUNT INVESTED
899	OPERATING	\$	1,012,610.00
TOTAL DUE:			\$ 1,020,937.28

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 4/15/2014  
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	<u>TexSTAR</u>	FUND NAME:	<u>OPERATING</u>
PAR VALUE:	<u>739,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE	<u>N/A</u>	BOND EQ. YIELD:	<u>0.0403%</u>
PRINCIPAL:	<u>739,000.00</u>	PURCHASED THRU:	<u>TexSTAR</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>739,000.00</u>	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>4/15/2014</u>	SETTLEMENT DATE:	<u>4/15/2014</u>

AUTHORIZED BY:   
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$739,000.00

SETTLEMENT DATE:04/15/2014

INTEREST RATE:0.0403

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

8000 (899)	OPERATING ACCOUNT	\$739,000.00
------------	-------------------	--------------

TOTAL DUE:		\$739,000.00
------------	--	--------------

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/15/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0403%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	4/15/2014	SETTLEMENT DATE:	4/15/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

**ATTACHMENT A**

DESCRIPTION: TexSTAR

PAR VALUE:\$1,000.00

SETTLEMENT DATE:04/15/2014

INTEREST RATE:0.0403

FUND#	FUND NAME	AMOUNT INVESTED
3005 (397)	DEBT SERV TAX BDS	\$1,000.00

TOTAL DUE: \$1,000.00

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 4/15/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	17,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0403%
PRINCIPAL:	17,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	17,000.00	CUSIP #:	N/A
TRADE DATE:	4/15/2014	SETTLEMENT DATE:	4/15/2014

AUTHORIZED BY:

  
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$17,000.00

SETTLEMENT DATE:04/15/2014

INTEREST RATE:0.0403

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

3001 (394)	CONS.DEBT SERVICE	\$17,000.00
------------	-------------------	-------------

TOTAL DUE: \$17,000.00

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/16/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	12,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0417%
PRINCIPAL:	12,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	12,000.00	CUSIP #:	N/A
TRADE DATE:	4/16/2014	SETTLEMENT DATE:	4/16/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$12,000.00

SETTLEMENT DATE:04/16/2014

INTEREST RATE:0.0417

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

3001 (394)	CONS.DEBT SERVICE	\$12,000.00
------------	-------------------	-------------

TOTAL DUE:		\$12,000.00
------------	--	-------------

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/17/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	OPERATING
PAR VALUE:	207,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0400%
PRINCIPAL:	207,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	207,000.00	CUSIP #:	N/A
TRADE DATE:	4/17/2014	SETTLEMENT DATE:	4/17/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION:

TexSTAR

PAR VALUE:\$207,000.00

SETTLEMENT DATE:04/17/2014

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

8000 (899)	OPERATING ACCOUNT	\$207,000.00
------------	-------------------	--------------

TOTAL DUE:		\$207,000.00
------------	--	--------------

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/17/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0400%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	4/17/2014	SETTLEMENT DATE:	4/17/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$1,000.00

SETTLEMENT DATE:04/17/2014

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

3005 (397)	DEBT SERV TAX BDS	\$1,000.00
------------	-------------------	------------

TOTAL DUE:		\$1,000.00
------------	--	------------

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 4/17/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	10,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0400%
PRINCIPAL:	10,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	10,000.00	CUSIP #:	N/A
TRADE DATE:	4/17/2014	SETTLEMENT DATE:	4/17/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$10,000.00

SETTLEMENT DATE:04/17/2014

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

3001 (394)	CONS.DEBT SERVICE	\$10,000.00
------------	-------------------	-------------

TOTAL DUE: \$10,000.00

TRAVIS COUNTY INVESTMENT REPORT

PORTFOLIO STATISTICS

DATE: April 18, 2014

By Fund Type		
Operating	\$ 508,303,852.78	73.28%
Debt Service	31,057,234.74	4.48%
Pooled Bond Fund	153,894,320.31	22.19%
Other	354,022.85	0.05%
Total Portfolio	<u>\$ 693,609,430.68</u>	<u>100.00%</u>

By Security Type		
Operating-		
Government Agencies	\$ 251,177,832.16	49.41%
Government Treasuries	6,096,236.41	1.20%
Municipal Bonds	14,905,195.29	2.93%
Certificates of Deposit	2,001.82	0.00%
Commercial Paper	41,466,660.55	8.16%
TexasTERM CP	-	0.00%
TexasDAILY	69,215,978.34	13.62%
TexSTAR	87,411,425.61	17.20%
TexPool	38,028,522.60	7.48%
Total	<u>\$ 508,303,852.78</u>	<u>100.00%</u>

Debt Service-		
Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	-	0.00%
TexasDAILY	11,816,517.63	38.05%
TexSTAR	11,683,081.26	37.62%
TexPool	7,557,635.85	24.33%
Total	<u>\$ 31,057,234.74</u>	<u>100.00%</u>

Pooled Bond Fund-		
Government Agencies	\$ 81,119,257.25	52.71%
Government Treasuries	4,064,157.61	2.64%
Municipal Bonds	7,629,655.15	4.96%
Commercial Paper	10,991,050.00	7.14%
TexasTERM CP	-	0.00%
TexasDAILY	11,335,824.99	7.37%
TexSTAR	30,989,001.82	20.14%
TexPool	7,765,373.49	5.05%
Total	<u>\$ 153,894,320.31</u>	<u>100.00%</u>

Other-		
Certificates of Deposit	\$ 354,022.85	100.00%
	<u>\$ 354,022.85</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
<b>Combined Portfolio-</b>			
Government Agencies	\$ 332,297,089.41	47.91%	75.00%
Government Treasuries	10,160,394.02	1.46%	100.00%
Municipal Bonds	22,534,850.44	3.25%	20.00%
Certificates of Deposit	356,024.67	0.05%	50.00%
Commercial Paper	52,457,710.55	7.56%	20.00%
TexasTERM CP	-	0.00%	20.00%
Texas DAILY	92,368,320.96	13.32%	30.00%
TexSTAR	130,083,508.69	18.75%	30.00%
TexPool	53,351,531.94	7.69%	50.00%
Total	<u>\$ 693,609,430.68</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	-	0.00%	
Texas DAILY	92,368,320.96	13.32%	
TexSTAR	130,083,508.69	18.75%	
TexPool	53,351,531.94	7.69%	
	<u>\$ 275,803,361.59</u>	<u>39.76%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
Corpus Christi GO	\$ 2,185,615.44	0.43%	5.00%
Port of Seattle GO	\$ 1,020,937.28	0.20%	5.00%
College Station ISD GO	\$ 1,681,742.57	0.33%	5.00%
Wichita KS GO Tax-Ex	\$ 10,016,900.00	1.97%	5.00%
	<u>\$ 14,905,195.29</u>	<u>0.43%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
City of Austin IP GO	\$ 3,355,093.48	2.18%	5.00%
City of Austin GO UNLTD	\$ 1,560,060.67	1.01%	5.00%
Southern York County GO	\$ 2,714,501.00	1.76%	5.00%
	<u>\$ 7,629,655.15</u>	<u>4.96%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
City of Austin GO	\$ 4,915,154.15	0.71%	5.00%
Port of Seattle GO	\$ 1,020,937.28	0.15%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.32%	5.00%
College Station ISD GO	\$ 1,681,742.57	0.24%	5.00%
Wichita KS GO Tax-Ex	\$ 10,016,900.00	1.44%	5.00%
Southern York County GO	\$ 2,714,501.00	0.39%	5.00%
	<u>\$ 22,534,850.44</u>	<u>1.17%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
ING Funding	\$ 7,988,737.78	1.57%	5.00%
Providence Healthcare 5/2014	\$ 5,000,000.00	0.98%	5.00%
Providence Healthcare 6/2014	\$ 5,000,000.00	0.98%	5.00%
Vanderbilt Univ CP	\$ 5,993,280.00	1.18%	5.00%
ING Funding	\$ 2,995,698.33	0.59%	5.00%
Wells Fargo	\$ 9,988,944.44	1.97%	5.00%
Board GOV UNIV NC	\$ 4,500,000.00	0.89%	5.00%
Total Operating	<u>\$ 41,466,660.55</u>	<u>8.16%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
ING Funding	\$ 5,991,050.00	3.89%	5.00%
Providence Healthcare 6/2014	\$ 5,000,000.00	3.25%	5.00%
	<u>\$ 10,991,050.00</u>	<u>7.14%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
ING Funding	\$ 16,975,486.11	2.45%	5.00%
Providence Healthcare	\$ 15,000,000.00	2.16%	5.00%
Vanderbilt Univ CP	\$ 5,993,280.00	0.86%	5.00%
Wells Fargo	\$ 9,988,944.44	1.44%	5.00%
Board GOV UNIV NC	\$ 4,500,000.00	0.65%	5.00%
Total Combined Portfolio	<u>\$ 52,457,710.55</u>	<u>7.56%</u>	<u>20.00%</u>