

ITEM # C2

STATE OF TEXAS            )  
  )  
COUNTY OF TRAVIS        )

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$2,843,742.57 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 22 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date:            February 25, 2014

\_\_\_\_\_  
COUNTY JUDGE

\_\_\_\_\_  
COMMISSIONER, PRECINCT 1

\_\_\_\_\_  
COMMISSIONER, PRECINCT 2

\_\_\_\_\_  
COMMISSIONER, PRECINCT 3

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COMMISSIONER, PRECINCT 4

**TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM**

**DATE:** 2/18/2014

**TIME:** 9:30

The following transaction was executed on behalf of Travis County:

<b>DESCRIPTION:</b>	<u>TexasDAILY</u>	<b>FUND NAME:</b>	<u>TC DEBT SERVICE</u>
<b>PAR VALUE:</b>	<u>\$ 4,000.00</u>	<b>SAFEKEEPING NO:</b>	<u>N/A</u>
<b>CPN/DISC RATE:</b>	<u>N/A</u>	<b>PRICE:</b>	<u>100%</u>
<b>MATURITY DATE:</b>	<u>N/A</u>	<b>BOND EQ. YIELD:</b>	<u>0.0400%</u>
<b>PRINCIPAL:</b>	<u>\$ 4,000.00</u>	<b>PURCHASED THROUGH:</b>	<u>TexasDAILY</u>
<b>ACCRUED INT:</b>	<u>N/A</u>	<b>BROKER:</b>	<u>N/A</u>
<b>TOTAL DUE:</b>	<u>\$ 4,000.00</u> =====	<b>CUSIP #:</b>	<u>N/A</u>
<b>TRADE DATE:</b>	<u>2/18/2014</u>	<b>SETTLEMENT DATE:</b>	<u>2/18/2014</u>

**AUTHORIZED BY:**

  
CASH/INVESTMENT MANAGER

**ATTACHMENT A**

DESCRIPTION: TexasDAILY

SETTLEMENT DATE:02/18/2014

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
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3005 (397)	DEBT SERV TAX BDS	\$4,000.00
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TOTAL DUE:		\$4,000.00
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# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 2/18/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexasDAILY	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	\$ 51,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0400%
PRINCIPAL:	\$ 51,000.00	PURCHASED THROUGH:	TexasDAILY
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	\$ 51,000.00 =====	CUSIP #:	N/A
TRADE DATE:	2/18/2014	SETTLEMENT DATE:	2/18/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

**ATTACHMENT A**

DESCRIPTION: TexasDAILY

SETTLEMENT DATE:02/18/2014

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
3001 (394)	CONS.DEBT SERVICE	\$51,000.00
TOTAL DUE:		\$51,000.00

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 2/19/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	3,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0335%
PRINCIPAL:	3,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	3,000.00	CUSIP #:	N/A
TRADE DATE:	2/19/2014	SETTLEMENT DATE:	2/19/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION:

TexSTAR

PAR VALUE:\$3,000.00

SETTLEMENT DATE:02/19/2014

INTEREST RATE:0.0335

FUND# FUND NAME

AMOUNT INVESTED

3005 (397) DEBT SERV TAX BDS

\$3,000.00

TOTAL DUE:

\$3,000.00

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 2/19/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	42,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0335%
PRINCIPAL:	42,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	42,000.00	CUSIP #:	N/A
TRADE DATE:	2/19/2014	SETTLEMENT DATE:	2/19/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION:

TexSTAR

PAR VALUE:\$42,000.00

SETTLEMENT DATE:02/19/2014

INTEREST RATE:0.0335

FUND# FUND NAME

AMOUNT INVESTED

3001 (394) CONS.DEBT SERVICE

\$42,000.00

TOTAL DUE:

\$42,000.00

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 2/20/2014  
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	<u>TexSTAR</u>	FUND NAME:	<u>OPERATING</u>
PAR VALUE:	<u>774,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE	<u>N/A</u>	BOND EQ. YIELD:	<u>0.0335%</u>
PRINCIPAL:	<u>774,000.00</u>	PURCHASED THRU:	<u>TexSTAR</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>774,000.00</u>	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>2/20/2014</u>	SETTLEMENT DATE:	<u>2/20/2014</u>

AUTHORIZED BY:   
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$774,000.00

SETTLEMENT DATE:02/20/2014

INTEREST RATE:0.0335

FUND#	FUND NAME	AMOUNT INVESTED
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8000 (899)	OPERATING ACCOUNT	\$774,000.00
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TOTAL DUE:		\$774,000.00
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**TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM**

**DATE:** 2/20/2014

**TIME:** 9:30

The following transaction was executed on behalf of Travis County:

<b>DESCRIPTION:</b>	<u>TexSTAR</u>	<b>FUND NAME:</b>	<u>TC DEBT SERVICE</u>
<b>PAR VALUE:</b>	<u>3,000.00</u>	<b>SAFEKEEPING NO:</b>	<u>N/A</u>
<b>CPN/DISC RATE:</b>	<u>N/A</u>	<b>PRICE:</b>	<u>100%</u>
<b>MATURITY DATE</b>	<u>N/A</u>	<b>BOND EQ. YIELD:</b>	<u>0.0335%</u>
<b>PRINCIPAL:</b>	<u>3,000.00</u>	<b>PURCHASED THRU:</b>	<u>TexSTAR</u>
<b>ACCRUED INT:</b>	<u>N/A</u>	<b>BROKER:</b>	<u>N/A</u>
<b>TOTAL DUE:</b>	<u>3,000.00</u>	<b>CUSIP #:</b>	<u>N/A</u>
<b>TRADE DATE:</b>	<u>2/20/2014</u>	<b>SETTLEMENT DATE:</b>	<u>2/20/2014</u>

**AUTHORIZED BY:**

  
**CASH/INVESTMENT MANAGER**

# ATTACHMENT A

DESCRIPTION:

TexSTAR

PAR VALUE:\$3,000.00

SETTLEMENT DATE:02/20/2014

INTEREST RATE:0.0335

FUND# FUND NAME

AMOUNT INVESTED

3005 (397) DEBT SERV TAX BDS

\$3,000.00

TOTAL DUE:

\$3,000.00

**TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM**

DATE: 2/20/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	<u>TexSTAR</u>	FUND NAME:	<u>INTEREST AND SINKING</u>
PAR VALUE:	<u>27,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE	<u>N/A</u>	BOND EQ. YIELD:	<u>0.0335%</u>
PRINCIPAL:	<u>27,000.00</u>	PURCHASED THRU:	<u>TexSTAR</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>27,000.00</u>	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>2/20/2014</u>	SETTLEMENT DATE:	<u>2/20/2014</u>

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$27,000.00

SETTLEMENT DATE:02/20/2014

INTEREST RATE:0.0335

FUND#	FUND NAME	AMOUNT INVESTED
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3001 (394)	CONS.DEBT SERVICE	\$27,000.00
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TOTAL DUE: \$27,000.00

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/22/2014

TIME: 11:00 AM

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	College Station ISD <u>GO Tax Exempt</u>	FUND NAME:	OPERATING
PAR VALUE:	\$ 1,615,000.00	SAFEKEEPING NO:	P 31131
COUPON RATE:	3.000%	PRICE:	104.09100
MATURITY DATE:	8/15/2015	US TREASURY CONVENTION YLD	0.2410%
PRINCIPAL:	\$ 1,681,069.65	PURCHASED THROUGH:	DREXEL HAMILTON
ACCRUED INT:	\$ 672.92	BROKER:	FRED PHELAN
TOTAL DUE:	\$ 1,681,742.57	CUSIP #:	194475QV4
TRADE DATE:	1/22/2014	SETTLEMENT DATE:	2/20/2014

AUTHORIZED BY:



# ATTACHMENT A

DESCRIPTION:	0 College Station ISD	BROKER:	DREXEL HAMILTON FRED PHELAN
PAR VALUE:	\$ 1,615,000.00	CUSIP:	194475QV4
SETTLEMENT DATE:	2/20/2014	YIELD:	0.2410%
MATURITY DATE:	8/15/2015	COUPON RATE:	3.000%

FUND#	FUND NAME	AMOUNT INVESTED
899	OPERATING	\$ 1,681,069.65
TOTAL DUE:		\$ 1,681,742.57

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 2/21/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	OPERATING
PAR VALUE:	227,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0326%
PRINCIPAL:	227,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	227,000.00	CUSIP #:	N/A
TRADE DATE:	2/21/2014	SETTLEMENT DATE:	2/21/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$227,000.00

SETTLEMENT DATE:02/21/2014

INTEREST RATE:0.0326

FUND#	FUND NAME	AMOUNT INVESTED
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8000 (899)	OPERATING ACCOUNT	\$227,000.00
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TOTAL DUE:		\$227,000.00
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TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 2/21/2014  
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	<u>TexSTAR</u>	FUND NAME:	<u>TC DEBT SERVICE</u>
PAR VALUE:	<u>1,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE	<u>N/A</u>	BOND EQ. YIELD:	<u>0.0326%</u>
PRINCIPAL:	<u>1,000.00</u>	PURCHASED THRU:	<u>TexSTAR</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>1,000.00</u>	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>2/21/2014</u>	SETTLEMENT DATE:	<u>2/21/2014</u>

AUTHORIZED BY:   
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION:

TexSTAR

PAR VALUE:\$1,000.00

SETTLEMENT DATE:02/21/2014

INTEREST RATE:0.0326

FUND# FUND NAME

AMOUNT INVESTED

3005 (397) DEBT SERV TAX BDS

\$1,000.00

TOTAL DUE:

\$1,000.00

# ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 2/21/2014

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	30,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0326%
PRINCIPAL:	30,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	30,000.00	CUSIP #:	N/A
TRADE DATE:	2/21/2014	SETTLEMENT DATE:	2/21/2014

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$30,000.00

SETTLEMENT DATE:02/21/2014

INTEREST RATE:0.0326

FUND#	FUND NAME	AMOUNT INVESTED
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3001 (394)	CONS.DEBT SERVICE	\$30,000.00
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TOTAL DUE:		\$30,000.00
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TRAVIS COUNTY INVESTMENT REPORT

PORTFOLIO STATISTICS

DATE: February 21, 2014

**By Fund Type**

Operating	\$ 565,840,096.71	67.40%
Debt Service	99,674,862.37	11.87%
Pooled Bond Fund	173,632,575.08	20.68%
Other	403,968.96	0.05%
Total Portfolio	<u>\$ 839,551,503.12</u>	<u>100.00%</u>

**By Security Type**

Operating-

Government Agencies	\$ 240,577,832.16	42.52%
Government Treasuries	6,096,236.41	1.08%
Municipal Bonds	15,862,602.52	2.80%
Certificates of Deposit	2,001.82	0.00%
Commercial Paper	17,979,239.43	3.18%
TexasTERM CP	-	0.00%
TexasDAILY	106,312,348.03	18.79%
TexSTAR	91,121,401.15	16.10%
TexPool	87,888,435.19	15.53%
Total	<u>\$ 565,840,096.71</u>	<u>100.00%</u>

Debt Service-

Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	-	0.00%
TexasDAILY	34,513,153.98	34.63%
TexSTAR	33,886,759.81	34.00%
TexPool	31,274,948.58	31.38%
Total	<u>\$ 99,674,862.37</u>	<u>100.00%</u>

Pooled Bond Fund-

Government Agencies	\$ 89,135,068.50	51.34%
Government Treasuries	4,064,157.61	2.34%
Municipal Bonds	4,915,154.15	2.83%
Commercial Paper	13,972,134.45	8.05%
TexasTERM CP	-	0.00%
TexasDAILY	25,584,112.32	14.73%
TexSTAR	14,938,754.10	8.60%
TexPool	21,023,193.95	12.11%
Total	<u>\$ 173,632,575.08</u>	<u>100.00%</u>

Other-

Certificates of Deposit	\$ 403,968.96	100.00%
	<u>\$ 403,968.96</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
<b>Combined Portfolio-</b>			
Government Agencies	\$ 329,712,900.66	39.27%	75.00%
Government Treasuries	10,160,394.02	1.21%	100.00%
Municipal Bonds	20,777,756.67	2.47%	20.00%
Certificates of Deposit	405,970.78	0.05%	50.00%
Commercial Paper	31,951,373.88	3.81%	20.00%
TexasTERM CP	-	0.00%	20.00%
Texas DAILY	166,409,614.33	19.82%	30.00%
TexSTAR	139,946,915.06	16.67%	30.00%
TexPool	140,186,577.72	16.70%	50.00%
Total	<u>\$ 839,551,503.12</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	-	0.00%	
Texas DAILY	166,409,614.33	19.82%	
TexSTAR	139,946,915.06	16.67%	
TexPool	140,186,577.72	16.70%	
	<u>\$ 446,543,107.11</u>	<u>53.19%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
City of Conroe GO	\$ 132,958.08	0.02%	5.00%
Corpus Christi GO	\$ 1,845,386.43	0.33%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.39%	5.00%
College Station ISD GO	\$ 1,681,742.57	0.30%	5.00%
Wichita KS GO Tax-Ex	\$ 10,016,900.00	1.77%	5.00%
	<u>\$ 15,862,602.52</u>	<u>0.74%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
City of Austin IP GO	\$ 3,355,093.48	1.93%	5.00%
City of Austin GO UNLTD	\$ 1,560,060.67	0.90%	5.00%
	<u>\$ 4,915,154.15</u>	<u>2.83%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
City of Austin GO	\$ 4,915,154.15	0.59%	5.00%
City of Conroe GO	\$ 132,958.08	0.02%	5.00%
Corpus Christi GO	\$ 4,031,001.87	0.48%	5.00%
College Station ISD GO	\$ 1,681,742.57	0.20%	5.00%
Wichita KS GO Tax-Ex	\$ 10,016,900.00	1.19%	5.00%
	<u>\$ 20,777,756.67</u>	<u>1.08%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
ING Funding	\$ 2,998,680.00	0.53%	5.00%
ING Funding	\$ 2,995,698.33	0.53%	5.00%
Wells Fargo	\$ 9,988,944.44	1.77%	5.00%
FCAR II	\$ 1,995,916.66	0.35%	5.00%
Total Operating	<u>\$ 17,979,239.43</u>	<u>0.35%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
ING Funding	\$ 5,991,050.00	3.45%	5.00%
FCAR II	\$ 7,981,084.45	4.60%	5.00%
	<u>\$ 13,972,134.45</u>	<u>8.05%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
ING Funding	\$ 11,985,428.33	1.43%	5.00%
Wells Fargo	\$ 9,988,944.44	1.19%	5.00%
FCAR II	\$ 9,977,001.11	1.19%	5.00%
Total Combined Portfolio	<u>\$ 31,951,373.88</u>	<u>3.81%</u>	<u>20.00%</u>