

# Item 23 ADDED BACKUP

Exhibit C-2

## Summary of Recommendations for Substantial Amendment to CDBG PY09-13 Action Plans & PY11-13 Action Plan

Over the last two years, several projects have had cost savings and those funds need to be allocated for another purpose. Furthermore, due to slow spending, HUD reduced the PY13 Action Plan funding by 5%. Consequently, a substantial amendment must occur to notify the public of the proposed changes and uses of funding and the current Consolidated Plan must be updated to reflect any changes to goals.

### PY13 Action Plan

In August 2013, the Travis County Commissioner Court approved submission of the PY13 Action Plan based on a planned allocation of \$909,925. Due to issues with timely spending of funds, HUD reduced the PY13 allocation by 5%.

Figure 1: PY13 Action Plan Changes

Project	Original Funding Level	Reduction Funding Level	Reduction Impact
PY13 Administration and Planning	\$181,985	\$171,106	Loss of \$10,879. This project does not have beneficiaries associated with it.
PY13 Social Work Expansion	\$75,000	\$71,670	Loss of \$3330 to be replaced by HHS Department Salary Savings. No beneficiary changes.
PY12 Fair Housing & Tenants' Rights	\$50,000	\$50,000	No Fiscal or Beneficiary Changes
PY13 Lake Oak Estates, Phase 3	\$425,000	\$425,000	No Fiscal or Beneficiary Changes
PY13 Owner Occupied Home Rehabilitation	\$177,940	\$131,098	Loss of \$46,842. Reduction in beneficiaries by 3 houses. Will use project savings to make the project whole so long term impact to beneficiaries is 0.*
<b>TOTAL</b>	<b>\$909,925</b>	<b>\$855,534</b>	<b>Loss of \$54,391 and 3 less homes rehabilitated.*</b>

## Amendments to the PY09-12 Action Plans

### Project Savings

Over several program years, project savings have been identified. The chart below summarizes the project savings and reasons the projects did not spend all of its funding. Project savings are defined as funds available after a project's completion.

**Figure 2: PY09-12 Project Savings**

Original Project	Project Savings	Reason for Savings
PY11 Administration and Planning	\$4,854.17	Not all funds were needed.
PY11 Social Work Expansion	\$29,031.23	There was a slow start up to the program due to a staff vacancy; therefore, not all funds could be expended.
PY12 Administration and Planning	\$34,036.76	One staff person was on maternity leave for a portion of the grant year and more time was charged to home rehabilitation environmentals than expected; therefore all funds could not be expended.
PY12 Social Work Expansion	\$18,989.14	There was a staff vacancy mid-year; therefore, not all funds could be expended.
PY12 Fair Housing	\$21,816.00	Due to competing priorities, the contract for this project was delayed which impacted spending. Due to a cap on public service projects, the funding could not be carried over. The project continues with PY13 funds.
PY09 Homebuyer Assistance	\$470,596.35	This project was implemented in September 2012, but did not perform as expected. Due to the tightened credit market and lack of FHA approval for the program, only one homeowner was assisted. The contract with the non-profit expired on September 30, 2013 and was not renewed due to performance.
PY10 Homebuyer Assistance	\$283,350.34	
<b>TOTAL</b>	<b>\$862,673.99</b>	<b>This dollar amount is available for reprogramming to new uses during the PY13 program year.</b>

## Reallocation of Funds

Staff recommends the project savings in the PY09-PY12 Action Plans, outlined in Figure 2 above, be reallocated to the following projects outlined in Figure 3 below.

**Figure3: Reallocation of PY09-12 Project Savings**

Project	Amount	Impact	Priority in the PY11-13 Consolidated Plan	Summary of Project
PY13 Owner Occupied Home Rehabilitation	\$318,902	12 houses  100% low/mod households	High	Assistance to homeowners with up to \$24,999 in repairs to improve substandard housing, accessibility or energy efficiency. A 5-year deferred, forgivable loan will be made to eligible households. Program targets households at 80% Median Family Income (MFI).
PY13 Barkley Meadows Flood Damage Repair <sup>^</sup>	\$543,771.99	8559 people  57.7% low to moderate income area	Medium, but Urgent Need due to Flood	Assistance to restore Barkley Meadows to its state prior to the October 31, 2013 flood. Project includes tree/debris removal, play area resurfacing and replacement of playscape, fencing, replace curb stops, bank stabilization and erosion mitigation. Replanting of trees, re-sodding or other re-vegetation, if needed.
<b>TOTAL</b>	<b>\$862,673.99</b>			

<sup>^</sup>Project is exempt from environmental review as it addresses restoring a public facility after a natural disaster. No design is needed, just construction.

## Alternate Projects

In July 2010, the Travis County Commissioners Court approved an amendment to the Citizen Participation Plan to allow for a list of alternate projects to be provided in the Annual Action Plan. This amendment provides the framework to allow the opportunity to have a list of projects that have the potential to be implemented quickly should a funded CDBG project experience cost savings, delays or barriers to completion.

Alternate Projects will contain the same level of information that funded projects contain to ensure appropriate review by the public. Approval by the Travis County Commissioners Court will be necessary to replace a funded project with an alternate or to fund an alternate with cost savings from a completed project regardless of whether or not the increase or decrease exceeds 25 percent. These actions will not require a

substantial amendment since the alternate projects will have gone through a public review process saving 60 to 90 days prior to fund reallocation.

In the event that the projects identified in this substantial amendment (affecting the PY09-PY13 Action Plans) are delayed, canceled, or are performed at a lower cost than the budgeted amount, the Travis County CDBG program plans to pursue one or more of the alternate project listed in Figure 4.

**Figure4: Additional Alternate Projects for PY13 Action Plan & PY09-12 Action Plan Reallocations**

Project	Amount	Impact	Priority in the PY11-13 Consolidated Plan	Summary of Project
Richard Moya Park Flood Damage Repair <sup>^</sup>	Up to \$250,000	9162 people 57.1% low to moderate income area	Medium, but Urgent Need due to Flood	Assistance to restore Richard Moya Park to its state prior to the October 31, 2013 flood. Project includes replacing Small Play Area East with surfacing, bank stabilization and re-sodding, tree planting and re-vegetation/reconstruction of Park.
Detention Pond Rehabilitation due to Flood Damage: Precinct 4 – 5000 block of S US Hwy 183, south of Burleson Rd intersection <sup>^*</sup>	Up to \$50,000	TBD	Medium, but Urgent Need due to Flood	Restore the detention pond to its state prior the October 31, 2013 flood. Project includes: repairing embankment and berm, grading and stabilization.
Outfall: Northeast Metro Park <sup>^*</sup>	Up to \$15,000	TBD	Medium, but Urgent Need due to Flood	Restore the outfall to its state prior the October 31, 2013 flood. Project includes: reconstruction and repair of outfall and outfall channel.
Detention Pond: Gattis School Road <sup>^*</sup>	Up to \$250,000*	TBD	Medium, but Urgent Need due to Flood	Restore the detention pond to its state prior the October 31, 2013 flood. Project includes: reconstruction of pond including addressing extensive channel embankment erosion. *If re-design is needed, the project will not be CDBG eligible.
Detention Pond: Howard Lane <sup>^*</sup>	Up to \$50,000	TBD	Medium, but Urgent Need due to Flood	Restore the detention pond to its state prior the October 31, 2013 flood. Project includes:

				reconstruction of pond including addressing clogged culvert, erosion of channel, debris clean up and stabilization.
Briar Creek Street Improvements**	Up to \$350,000	TBD	High	Improvements to streets in Briar Creek, a low to moderate income neighborhood.
Richard Moya Park Flood Damage Repair^	Up to \$500,000	9162 people 57.1% low to moderate income area	Medium, but Urgent Need due to Flood	Assistance to restore Richard Moya Park to its state prior to the October 31, 2013 flood. Project includes any remaining unfunded items that need to be repaired to restore the Park to its pre-flood state. Work can include a variety of tasks from additional re-vegetation, reconstruction of paths and amenities, erosion controls, etc.

^Project is exempt from environmental review as it addresses restoring a public facility after a natural disaster. No external firm design is needed, just construction.

\*These projects have very large areas of benefit; therefore, it is unlikely that they meet criteria to serve at least 45.14% low to moderate income areas. The national objective used for these projects would be Urgent Need which is capped at 30% of the total amount of CDBG funding spent during the year.

\*\* This project has a scope of work ready to go, but will need an environmental completed prior to going out for bid.

Please note: For all the projects noted with TBD information, staff will provide update as soon as possible. Area of benefit maps and calculations are in process.