

ITEM # C2

STATE OF TEXAS)
)
COUNTY OF TRAVIS)

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$4,413,000.00 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 28 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date: November 26, 2013

COUNTY JUDGE

COMMISSIONER, PRECINCT 1

COMMISSIONER, PRECINCT 2

COMMISSIONER, PRECINCT 3

COMMISSIONER, PRECINCT 4

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/18/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	4,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0468%
PRINCIPAL:	4,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,000.00	CUSIP #:	N/A
TRADE DATE:	11/18/2013	SETTLEMENT DATE:	11/18/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$4,000.00

SETTLEMENT DATE: 11/18/13 INTEREST RATE:0.0468

FUND#	FUND NAME	AMOUNT INVESTED
397	DEBT SERV TAX BDS	\$4,000.00

TOTAL DUE: \$4,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 11/18/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST & SINKING
PAR VALUE:	360,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0468%
PRINCIPAL:	360,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	360,000.00	CUSIP #:	N/A
TRADE DATE:	11/18/2013	SETTLEMENT DATE:	11/18/2013

AUTHORIZED BY: Reagan Ames
 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$360,000.00

SETTLEMENT DATE: 11/18/13 INTEREST RATE:0.0468

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$360,000.00

TOTAL DUE: \$360,000.00

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/19/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	1,043,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0472%
PRINCIPAL:	1,043,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,043,000.00	CUSIP #:	N/A
TRADE DATE:	11/19/2013	SETTLEMENT DATE:	11/19/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,043,000.00

SETTLEMENT DATE:11/19/2013

INTEREST RATE:0.0472

FUND#	FUND NAME	AMOUNT INVESTED
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8000 (899)	OPERATING ACCOUNT	\$1,043,000.00
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TOTAL DUE:		\$1,043,000.00
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TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/19/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	146,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0472%
PRINCIPAL:	146,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	146,000.00	CUSIP #:	N/A
TRADE DATE:	11/19/2013	SETTLEMENT DATE:	11/19/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$146,000.00

SETTLEMENT DATE:11/19/2013

INTEREST RATE:0.0472

FUND#	FUND NAME	AMOUNT INVESTED
3001 (394)	CONS.DEBT SERVICE	\$146,000.00

TOTAL DUE: \$146,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 11/19/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	22,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0472%
PRINCIPAL:	22,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	22,000.00	CUSIP #:	N/A
TRADE DATE:	11/19/2013	SETTLEMENT DATE:	11/19/2013

AUTHORIZED BY:


 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$22,000.00

SETTLEMENT DATE:11/19/2013

INTEREST RATE:0.0472

FUND#	FUND NAME	AMOUNT INVESTED
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3005 (397)	DEBT SERV TAX BDS	\$22,000.00
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TOTAL DUE: \$22,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 11/20/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	532,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0349%
PRINCIPAL:	532,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	532,000.00	CUSIP #:	N/A
TRADE DATE:	11/20/2013	SETTLEMENT DATE:	11/20/2013

AUTHORIZED BY: 
 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$532,000.00

SETTLEMENT DATE:11/20/2013

INTEREST RATE:0.0349

FUND#	FUND NAME	AMOUNT INVESTED
8000 (899)	OPERATING ACCOUNT	\$532,000.00
TOTAL DUE:		\$532,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 11/20/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	8,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0349%
PRINCIPAL:	8,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	8,000.00	CUSIP #:	N/A
TRADE DATE:	11/20/2013	SETTLEMENT DATE:	11/20/2013

AUTHORIZED BY:


 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$8,000.00

SETTLEMENT DATE:11/20/2013

INTEREST RATE:0.0349

FUND#	FUND NAME	AMOUNT INVESTED
3005 (397)	DEBT SERV TAX BDS	\$8,000.00

TOTAL DUE: \$8,000.00

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/20/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	145,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0349%
PRINCIPAL:	145,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	145,000.00	CUSIP #:	N/A
TRADE DATE:	11/20/2013	SETTLEMENT DATE:	11/20/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$145,000.00

SETTLEMENT DATE:11/20/2013

INTEREST RATE:0.0349

FUND#	FUND NAME	AMOUNT INVESTED
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3001 (394)	CONS.DEBT SERVICE	\$145,000.00
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TOTAL DUE: \$145,000.00

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	1,718,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0459%
PRINCIPAL:	1,718,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,718,000.00	CUSIP #:	N/A
TRADE DATE:	11/21/2013	SETTLEMENT DATE:	11/21/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,718,000.00

SETTLEMENT DATE:11/21/2013

INTEREST RATE:0.0459

FUND#	FUND NAME	AMOUNT INVESTED
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8000 (899)	OPERATING ACCOUNT	\$1,718,000.00
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TOTAL DUE:		\$1,718,000.00
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TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	9,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0459%
PRINCIPAL:	9,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	9,000.00	CUSIP #:	N/A
TRADE DATE:	11/21/2013	SETTLEMENT DATE:	11/21/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION:

TEXPOOL

PAR VALUE:\$9,000.00

SETTLEMENT DATE:11/21/2013

INTEREST RATE:0.0459

FUND# FUND NAME

AMOUNT INVESTED

3005 (397) DEBT SERV TAX BDS

\$9,000.00

TOTAL DUE:

\$9,000.00

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 11/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	238,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0459%
PRINCIPAL:	238,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	238,000.00	CUSIP #:	N/A
TRADE DATE:	11/21/2013	SETTLEMENT DATE:	11/21/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$238,000.00

SETTLEMENT DATE:11/21/2013

INTEREST RATE:0.0459

FUND#	FUND NAME	AMOUNT INVESTED
3001 (394)	CONS.DEBT SERVICE	\$238,000.00

TOTAL DUE: \$238,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 11/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexasDAILY	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	\$ 14,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0700%
PRINCIPAL:	\$ 14,000.00	PURCHASED THROUGH:	TexasDAILY
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	\$ 14,000.00	CUSIP #:	N/A
TRADE DATE:	11/22/2013	SETTLEMENT DATE:	11/22/2013

AUTHORIZED BY:


 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexasDAILY

PAR VALUE:\$14,000.00

SETTLEMENT DATE: 11/22/2013 INTEREST RATE:0.0700

FUND#	FUND NAME	AMOUNT INVESTED
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397	DEBT SERV TAX BDS	\$14,000.00
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TOTAL DUE: \$14,000.00

ATTACHMENT A

**TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM**

DATE: 11/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexasDAILY	FUND NAME:	INTEREST AND SINKING
PAR VALUE:	\$ 104,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0700%
PRINCIPAL:	\$ 104,000.00	PURCHASED THROUGH:	TexasDAILY
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	\$ 104,000.00	CUSIP #:	N/A
TRADE DATE:	11/22/2013	SETTLEMENT DATE:	11/22/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexasDAILY
PAR VALUE:\$104,000.00
SETTLEMENT DATE: 11/22/2013 **INTEREST RATE:**0.0700

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$104,000.00
TOTAL DUE:		\$104,000.00

ATTACHMENT A

**TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM**

DATE: 11/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexasDAILY	FUND NAME:	OPERATING ACCOUNT
PAR VALUE:	\$ 70,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0700%
PRINCIPAL:	\$ 70,000.00	PURCHASED THROUGH:	TexasDAILY
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	\$ 70,000.00	CUSIP #:	N/A
TRADE DATE:	11/22/2013	SETTLEMENT DATE:	11/22/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexasDAILY
PAR VALUE:\$70,000.00
SETTLEMENT DATE: 11/22/2013 INTEREST RATE:0.0700

FUND#	FUND NAME	AMOUNT INVESTED
899	OPERATING ACCOUNT	\$70,000.00
TOTAL DUE:		\$70,000.00

TRAVIS COUNTY INVESTMENT REPORT

PORTFOLIO STATISTICS

DATE: November 22, 2013

By Fund Type		
Operating	\$ 255,772,440.72	55.35%
Debt Service	23,195,622.22	5.02%
Pooled Bond Fund	182,698,174.45	39.54%
Other	403,918.88	0.09%
Total Portfolio	<u>\$ 462,070,156.27</u>	<u>100.00%</u>

By Security Type		
Operating-		
Government Agencies	\$ 225,077,832.16	88.00%
Government Treasuries	6,096,236.41	2.38%
Municipal Bonds	4,163,959.95	1.63%
Certificates of Deposit	2,001.82	0.00%
Commercial Paper	1,995,916.66	0.78%
TexasTERM CP	-	0.00%
TexasDAILY	3,013,009.49	1.18%
TexSTAR	5,533,437.12	2.16%
TexPool	9,890,047.11	3.87%
Total	<u>\$ 255,772,440.72</u>	<u>100.00%</u>

Debt Service-		
Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	5,000,000.00	21.56%
TexasDAILY	5,002,728.20	21.57%
TexSTAR	5,525,060.78	23.82%
TexPool	7,667,833.24	33.06%
Total	<u>\$ 23,195,622.22</u>	<u>100.00%</u>

Pooled Bond Fund-		
Government Agencies	\$ 108,135,066.28	59.19%
Government Treasuries	4,064,157.61	2.22%
Municipal Bonds	9,443,563.70	5.17%
Commercial Paper	7,981,084.45	4.37%
TexasTERM CP	-	0.00%
TexasDAILY	3,946,910.24	2.16%
TexSTAR	22,107,544.80	12.10%
TexPool	27,019,847.37	14.79%
Total	<u>\$ 182,698,174.45</u>	<u>100.00%</u>

Other-		
Certificates of Deposit	\$ 403,918.88	100.00%
	<u>\$ 403,918.88</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
Combined Portfolio-			
Government Agencies	\$ 333,212,898.44	72.11%	75.00%
Government Treasuries	10,160,394.02	2.20%	100.00%
Municipal Bonds	13,607,523.65	2.94%	20.00%
Certificates of Deposit	405,920.70	0.09%	50.00%
Commercial Paper	9,977,001.11	2.16%	20.00%
TexasTERM CP	5,000,000.00	1.08%	20.00%
Texas DAILY	11,962,647.93	2.59%	30.00%
TexSTAR	33,166,042.70	7.18%	30.00%
TexPool	44,577,727.72	9.65%	50.00%
Total	<u>\$ 462,070,156.27</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	5,000,000.00	1.08%	
Texas DAILY	11,962,647.93	2.59%	
TexSTAR	33,166,042.70	7.18%	
TexPool	44,577,727.72	9.65%	
	<u>\$ 94,706,418.35</u>	<u>20.50%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
City of Conroe GO	\$ 132,958.08	0.05%	5.00%
Corpus Christi GO	\$ 1,845,386.43	0.72%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.85%	5.00%
	<u>\$ 4,163,959.95</u>	<u>1.63%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
City of Austin IP GO	\$ 3,355,093.48	1.84%	5.00%
City of Austin GO UNLTD	\$ 1,560,060.67	0.85%	5.00%
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	2.48%	5.00%
	<u>\$ 9,443,563.70</u>	<u>5.17%</u>	<u>20.00%</u>
Total Portfolio-			
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	0.98%	5.00%
City of Austin GO	\$ 4,915,154.15	1.06%	5.00%
City of Conroe GO	\$ 132,958.08	0.03%	5.00%
Corpus Christi GO	\$ 4,031,001.87	0.87%	5.00%
	<u>\$ 13,607,523.65</u>	<u>2.94%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
FCAR II	\$ 1,995,916.66	0.78%	5.00%
Total Operating	<u>\$ 1,995,916.66</u>	<u>0.78%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
FCAR II	\$ 7,981,084.45	4.37%	5.00%
	<u>\$ 7,981,084.45</u>	<u>4.37%</u>	<u>20.00%</u>
Total Portfolio-			
FCAR II	\$ 9,977,001.11	2.16%	5.00%
Total Combined Portfolio	<u>\$ 9,977,001.11</u>	<u>2.16%</u>	<u>20.00%</u>