

ITEM # C2

STATE OF TEXAS            )  
                                  )  
COUNTY OF TRAVIS        )

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$3,526,000.00 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 24 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date:            October 29, 2013

\_\_\_\_\_  
COUNTY JUDGE

\_\_\_\_\_  
COMMISSIONER, PRECINCT 1

\_\_\_\_\_  
COMMISSIONER, PRECINCT 2

\_\_\_\_\_  
COMMISSIONER, PRECINCT 3

\_\_\_\_\_  
COMMISSIONER, PRECINCT 4

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	1,334,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0673%
PRINCIPAL:	1,334,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,334,000.00	CUSIP #:	N/A
TRADE DATE:	10/21/2013	SETTLEMENT DATE:	10/21/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,334,000.00

SETTLEMENT DATE:10/21/2013

INTEREST RATE:0.0673

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

899	OPERATING ACCOUNT	\$1,334,000.00
-----	-------------------	----------------

TOTAL DUE:		\$1,334,000.00
------------	--	----------------

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0673%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	10/21/2013	SETTLEMENT DATE:	10/21/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,000.00

SETTLEMENT DATE:10/21/2013

INTEREST RATE:0.0673

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

397	DEBT SERV TAX BDS	\$1,000.00
-----	-------------------	------------

TOTAL DUE:		\$1,000.00
------------	--	------------

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/21/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	142,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0673%
PRINCIPAL:	142,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	142,000.00	CUSIP #:	N/A
TRADE DATE:	10/21/2013	SETTLEMENT DATE:	10/21/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$142,000.00

SETTLEMENT DATE:10/21/2013

INTEREST RATE:0.0673

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

394	CONS.DEBT SERVICE	\$142,000.00
-----	-------------------	--------------

TOTAL DUE:		\$142,000.00
------------	--	--------------

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	1,498,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0477%
PRINCIPAL:	1,498,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,498,000.00	CUSIP #:	N/A
TRADE DATE:	10/22/2013	SETTLEMENT DATE:	10/22/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER



# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,498,000.00

SETTLEMENT DATE:10/22/2013

INTEREST RATE:0.0477

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

899	OPERATING ACCOUNT	\$1,498,000.00
-----	-------------------	----------------

TOTAL DUE:		\$1,498,000.00
------------	--	----------------

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	9,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0477%
PRINCIPAL:	9,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	9,000.00	CUSIP #:	N/A
TRADE DATE:	10/22/2013	SETTLEMENT DATE:	10/22/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

DESCRIPTION: TEXPOOL

PAR VALUE:\$9,000.00

SETTLEMENT DATE:10/22/2013

INTEREST RATE:0.0477

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

397	DEBT SERV TAX BDS	\$9,000.00
-----	-------------------	------------

TOTAL DUE: \$9,000.00

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/22/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	12,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0477%
PRINCIPAL:	12,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	12,000.00	CUSIP #:	N/A
TRADE DATE:	10/22/2013	SETTLEMENT DATE:	10/22/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$12,000.00

SETTLEMENT DATE:10/22/2013

INTEREST RATE:0.0477

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$12,000.00

TOTAL DUE: \$12,000.00

ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/23/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	22,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0448%
PRINCIPAL:	22,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	22,000.00	CUSIP #:	N/A
TRADE DATE:	10/23/2013	SETTLEMENT DATE:	10/23/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$22,000.00

SETTLEMENT DATE: 10/23/13 INTEREST RATE:0.0448

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

394	CONS.DEBT SERVICE	\$22,000.00
-----	-------------------	-------------

TOTAL DUE: \$22,000.00

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/24/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	384,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0423%
PRINCIPAL:	384,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	384,000.00	CUSIP #:	N/A
TRADE DATE:	10/24/2013	SETTLEMENT DATE:	10/24/2013

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER



DESCRIPTION: TEXPOOL

PAR VALUE:\$384,000.00

SETTLEMENT DATE: 10/24/13 INTEREST RATE:0.0423

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

899	OPERATING ACCOUNT	\$384,000.00
-----	-------------------	--------------

TOTAL DUE: \$384,000.00

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 10/24/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	2,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0423%
PRINCIPAL:	2,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,000.00	CUSIP #:	N/A
TRADE DATE:	10/24/2013	SETTLEMENT DATE:	10/24/2013

AUTHORIZED BY:

  
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION:	TEXPOOL	
PAR VALUE:	\$2,000.00	
SETTLEMENT DATE:	10/24/13	INTEREST RATE:0.0423
FUND#	FUND NAME	AMOUNT INVESTED
397	DEBT SERV TAX BDS	\$2,000.00
TOTAL DUE:	\$2,000.00	

TRAVIS COUNTY  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 10/24/2013  
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	49,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0423%
PRINCIPAL:	49,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	49,000.00	CUSIP #:	N/A
TRADE DATE:	10/24/2013	SETTLEMENT DATE:	10/24/2013

AUTHORIZED BY:   
 CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$49,000.00

SETTLEMENT DATE: 10/24/13 INTEREST RATE:0.0423

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

394	CONS.DEBT SERVICE	\$49,000.00
-----	-------------------	-------------

TOTAL DUE: \$49,000.00

ATTACHMENT A

TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 10/25/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	70,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0449%
PRINCIPAL:	70,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	70,000.00	CUSIP #:	N/A
TRADE DATE:	10/25/2013	SETTLEMENT DATE:	10/25/2013

AUTHORIZED BY: Reagan Grims  
CASH/INVESTMENT MANAGER

# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$70,000.00

SETTLEMENT DATE:10/25/2013

INTEREST RATE:0.0449

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$70,000.00

TOTAL DUE: \$70,000.00

**ATTACHMENT A**

**TRAVIS COUNTY  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM**

**DATE:** 10/25/2013

**TIME:** 9:30

The following transaction was executed on behalf of Travis County:

<b>DESCRIPTION:</b>	<b>TEXPOOL</b>	<b>FUND NAME:</b>	<b>TC DEBT SERVICE</b>
<b>PAR VALUE:</b>	<b>3,000.00</b>	<b>SAFEKEEPING NO:</b>	<b>N/A</b>
<b>CPN/DISC RATE:</b>	<b>N/A</b>	<b>PRICE:</b>	<b>100%</b>
<b>MATURITY DATE</b>	<b>N/A</b>	<b>BOND EQ. YIELD:</b>	<b>0.0449%</b>
<b>PRINCIPAL:</b>	<b>3,000.00</b>	<b>PURCHASED THRU:</b>	<b>TEXPOOL</b>
<b>ACCRUED INT:</b>	<b>N/A</b>	<b>BROKER:</b>	<b>N/A</b>
<b>TOTAL DUE:</b>	<b>3,000.00</b>	<b>CUSIP #:</b>	<b>N/A</b>
<b>TRADE DATE:</b>	<b>10/25/2013</b>	<b>SETTLEMENT DATE:</b>	<b>10/25/2013</b>

**AUTHORIZED BY:**

  
**CASH/INVESTMENT MANAGER**



# ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$3,000.00

SETTLEMENT DATE:10/25/2013

INTEREST RATE:0.0449

FUND#	FUND NAME	AMOUNT INVESTED
-------	-----------	-----------------

397	DEBT SERV TAX BDS	\$3,000.00
-----	-------------------	------------

TOTAL DUE: \$3,000.00

TRAVIS COUNTY INVESTMENT REPORT  
 PORTFOLIO STATISTICS  
 DATE: October 25, 2013

By Fund Type		
Operating	\$ 272,417,308.10	57.11%
Debt Service	19,745,083.57	4.14%
Pooled Bond Fund	184,448,555.97	38.67%
Other	403,918.88	0.08%
Total Portfolio	<u>\$ 477,014,866.52</u>	<u>100.00%</u>

By Security Type		
Operating-		
Government Agencies	\$ 225,077,832.16	82.62%
Government Treasuries	6,096,236.41	2.24%
Municipal Bonds	4,163,959.95	1.53%
Certificates of Deposit	2,001.82	0.00%
Commercial Paper	1,995,916.66	0.73%
TexasTERM CP	-	0.00%
TexasDAILY	17,250,526.69	6.33%
TexSTAR	3,128,186.34	1.15%
TexPool	14,702,648.07	5.40%
Total	<u>\$ 272,417,308.10</u>	<u>100.00%</u>

Debt Service-		
Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	5,000,000.00	25.32%
TexasDAILY	4,880,570.51	24.72%
TexSTAR	4,626,891.75	23.43%
TexPool	5,237,621.31	26.53%
Total	<u>\$ 19,745,083.57</u>	<u>100.00%</u>

Pooled Bond Fund-		
Government Agencies	\$ 117,135,066.28	63.51%
Government Treasuries	4,064,157.61	2.20%
Municipal Bonds	9,443,563.70	5.12%
Commercial Paper	7,981,084.45	4.33%
TexasTERM CP	-	0.00%
TexasDAILY	4,972,966.65	2.70%
TexSTAR	22,964,777.99	12.45%
TexPool	17,886,939.29	9.70%
Total	<u>\$ 184,448,555.97</u>	<u>100.00%</u>

Other-		
Certificates of Deposit	\$ 403,918.88	100.00%
	<u>\$ 403,918.88</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
<b>Combined Portfolio-</b>			
Government Agencies	\$ 342,212,898.44	71.74%	75.00%
Government Treasuries	10,160,394.02	2.13%	100.00%
Municipal Bonds	13,607,523.65	2.85%	20.00%
Certificates of Deposit	405,920.70	0.09%	50.00%
Commercial Paper	9,977,001.11	2.09%	20.00%
TexasTERM CP	5,000,000.00	1.05%	20.00%
Texas DAILY	27,104,063.85	5.68%	30.00%
TexSTAR	30,719,856.08	6.44%	30.00%
TexPool	37,827,208.67	7.93%	50.00%
Total	<u>\$ 477,014,866.52</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	5,000,000.00	1.05%	
Texas DAILY	27,104,063.85	5.68%	
TexSTAR	30,719,856.08	6.44%	
TexPool	37,827,208.67	7.93%	
	<u>\$ 100,651,128.60</u>	<u>21.10%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
City of Conroe GO	\$ 132,958.08	0.05%	5.00%
Corpus Christi GO	\$ 1,845,386.43	0.68%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.80%	5.00%
	<u>\$ 4,163,959.95</u>	<u>1.53%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
City of Austin IP GO	\$ 3,355,093.48	1.82%	5.00%
City of Austin GO UNLTD	\$ 1,560,060.67	0.85%	5.00%
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	2.46%	5.00%
	<u>\$ 9,443,563.70</u>	<u>5.12%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	0.95%	5.00%
City of Austin GO	\$ 4,915,154.15	1.03%	5.00%
City of Conroe GO	\$ 132,958.08	0.03%	5.00%
Corpus Christi GO	\$ 4,031,001.87	0.85%	5.00%
	<u>\$ 13,607,523.65</u>	<u>2.85%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
<b>Operating Portfolio-</b>			
FCAR II	\$ 1,995,916.66	0.73%	5.00%
Total Operating	<u>\$ 1,995,916.66</u>	<u>0.73%</u>	<u>20.00%</u>
<b>Pooled Bond Portfolio</b>			
FCAR II	\$ 7,981,084.45	4.33%	5.00%
	<u>\$ 7,981,084.45</u>	<u>4.33%</u>	<u>20.00%</u>
<b>Total Portfolio-</b>			
FCAR II	\$ 9,977,001.11	2.09%	5.00%
Total Combined Portfolio	<u>\$ 9,977,001.11</u>	<u>2.09%</u>	<u>20.00%</u>