

ITEM # C2

STATE OF TEXAS)
)
COUNTY OF TRAVIS)

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$15,342,060.67 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 24 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date: October 15, 2013

COUNTY JUDGE

COMMISSIONER, PRECINCT 1

COMMISSIONER, PRECINCT 2

COMMISSIONER, PRECINCT 3

COMMISSIONER, PRECINCT 4

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/7/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	446,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0350%
PRINCIPAL:	446,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	446,000.00	CUSIP #:	N/A
TRADE DATE:	10/7/2013	SETTLEMENT DATE:	10/7/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$446,000.00

SETTLEMENT DATE:10/07/2013

INTEREST RATE:0.0350

FUND#	FUND NAME	AMOUNT INVESTED
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899	OPERATING ACCOUNT	\$446,000.00
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TOTAL DUE:		\$446,000.00
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/7/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0350%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	10/7/2013	SETTLEMENT DATE:	10/7/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,000.00

SETTLEMENT DATE:10/07/2013

INTEREST RATE:0.0350

FUND#	FUND NAME	AMOUNT INVESTED
397	DEBT SERV TAX BDS	\$1,000.00

TOTAL DUE: \$1,000.00

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/7/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	11,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0350%
PRINCIPAL:	11,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	11,000.00	CUSIP #:	N/A
TRADE DATE:	10/7/2013	SETTLEMENT DATE:	10/7/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$11,000.00

SETTLEMENT DATE:10/07/2013

INTEREST RATE:0.0350

FUND#	FUND NAME	AMOUNT INVESTED
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394	CONS.DEBT SERVICE	\$11,000.00
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TOTAL DUE:		\$11,000.00
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/8/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	OPERATING
PAR VALUE:	719,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0395%
PRINCIPAL:	719,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	719,000.00	CUSIP #:	N/A
TRADE DATE:	10/8/2013	SETTLEMENT DATE:	10/8/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$719,000.00

SETTLEMENT DATE:10/08/2013

INTEREST RATE:0.0395

FUND#	FUND NAME	AMOUNT INVESTED
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899	OPERATING ACCOUNT	\$719,000.00
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TOTAL DUE:		\$719,000.00
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TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 10/8/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0395%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	10/8/2013	SETTLEMENT DATE:	10/8/2013

AUTHORIZED BY:


 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$1,000.00

SETTLEMENT DATE:10/08/2013

INTEREST RATE:0.0395

FUND#	FUND NAME	AMOUNT INVESTED
397	DEBT SERV TAX BDS	\$1,000.00

TOTAL DUE: \$1,000.00

ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/8/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST & SINKING
PAR VALUE:	28,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0395%
PRINCIPAL:	28,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	28,000.00	CUSIP #:	N/A
TRADE DATE:	10/8/2013	SETTLEMENT DATE:	10/8/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$28,000.00

SETTLEMENT DATE:10/08/2013

INTEREST RATE:0.0395

FUND#	FUND NAME	AMOUNT INVESTED
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394	CONS.DEBT SERVICE	\$28,000.00
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TOTAL DUE:		\$28,000.00
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TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 9/20/2013

TIME: 11:30 AM

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	CITY OF AUSTIN GO UNLTD	FUND NAME:	POOLED BOND
PAR VALUE:	\$ 1,560,000.00	SAFEKEEPING NO:	P 31131
COUPON RATE:	0.200%	PRICE:	100.000000
MATURITY DATE:	9/1/2014	US TREASURY CONVENTION YLD	0.2000%
PRINCIPAL:	\$ 1,560,000.00	PURCHASED THROUGH:	FIRST SOUTHWEST
ACCRUED INT:	\$ 60.67	BROKER:	LINDA CALLAWAY
TOTAL DUE:	\$ 1,560,060.67	CUSIP #:	0523963G1
TRADE DATE:	9/20/2013	SETTLEMENT DATE:	10/8/2013

AUTHORIZED BY:



ATTACHMENT A

DESCRIPTION:	0 CITY OF AUSTIN	BROKER:	FIRST SOUTHWEST LINDA CALLAWAY
PAR VALUE:	\$ 1,560,000.00	CUSIP:	0523963G1
SETTLEMENT DATE:	10/8/2013	YIELD:	0.2000%
MATURITY DATE:	9/1/2014	COUPON RATE:	0.200%

FUND#	FUND NAME		AMOUNT INVESTED
897	POOLED BOND	\$	1,560,000.00
TOTAL DUE:			\$ 1,560,060.67

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 10/10/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	2,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0430%
PRINCIPAL:	2,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,000.00	CUSIP #:	N/A
TRADE DATE:	10/10/2013	SETTLEMENT DATE:	10/10/2013

AUTHORIZED BY: Reagan James
 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$2,000.00

SETTLEMENT DATE:10/10/2013

INTEREST RATE:0.0430

FUND#	FUND NAME	AMOUNT INVESTED
397	DEBT SERV TAX BDS	\$2,000.00

TOTAL DUE: \$2,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 10/10/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	Interest & Sinking
PAR VALUE:	14,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0430%
PRINCIPAL:	14,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	14,000.00	CUSIP #:	N/A
TRADE DATE:	10/10/2013	SETTLEMENT DATE:	10/10/2013

AUTHORIZED BY: 
 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$14,000.00

SETTLEMENT DATE:10/10/2013

INTEREST RATE:0.0430

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$14,000.00

TOTAL DUE: \$14,000.00

ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/10/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	OPERATING
PAR VALUE:	12,501,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0430%
PRINCIPAL:	12,501,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	12,501,000.00	CUSIP #:	N/A
TRADE DATE:	10/10/2013	SETTLEMENT DATE:	10/10/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$12,501,000.00

SETTLEMENT DATE:10/10/2013

INTEREST RATE:0.0430

FUND#	FUND NAME	AMOUNT INVESTED
899	OPERATING ACCOUNT	\$12,501,000.00
TOTAL DUE:		\$12,501,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 10/11/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	OPERATING
PAR VALUE:	18,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0677%
PRINCIPAL:	18,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	18,000.00	CUSIP #:	N/A
TRADE DATE:	10/11/2013	SETTLEMENT DATE:	10/11/2013

AUTHORIZED BY:


 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$18,000.00

SETTLEMENT DATE:10/11/2013

INTEREST RATE:0.0677

FUND#	FUND NAME	AMOUNT INVESTED
899	OPERATING ACCOUNT	\$18,000.00
TOTAL DUE:		\$18,000.00

TRAVIS COUNTY
 INVESTMENT DEPARTMENT
 SECURITY TRANSACTION FORM

DATE: 10/11/2013
 TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	41,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0677%
PRINCIPAL:	41,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	41,000.00	CUSIP #:	N/A
TRADE DATE:	10/11/2013	SETTLEMENT DATE:	10/11/2013

AUTHORIZED BY: 
 CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$41,000.00

SETTLEMENT DATE:10/11/2013

INTEREST RATE:0.0677

FUND#	FUND NAME	AMOUNT INVESTED
394	CONS.DEBT SERVICE	\$41,000.00

TOTAL DUE: \$41,000.00

TRAVIS COUNTY INVESTMENT REPORT

PORTFOLIO STATISTICS

DATE: October 11, 2013

By Fund Type		
Operating	\$ 288,112,272.07	58.48%
Debt Service	19,321,817.15	3.92%
Pooled Bond Fund	184,835,636.48	37.52%
Other	403,918.88	0.08%
Total Portfolio	<u>\$ 492,673,644.58</u>	<u>100.00%</u>

By Security Type		
Operating-		
Government Agencies	\$ 238,077,832.16	82.63%
Government Treasuries	6,096,236.41	2.12%
Municipal Bonds	4,163,959.95	1.45%
Certificates of Deposit	1,001.82	0.00%
Commercial Paper	8,688,228.41	3.02%
TexasTERM CP	-	0.00%
TexasDAILY	5,956,228.91	2.07%
TexSTAR	17,150,186.34	5.95%
TexPool	7,978,598.07	2.77%
Total	<u>\$ 288,112,272.07</u>	<u>100.00%</u>

Debt Service-		
Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	5,000,000.00	25.88%
TexasDAILY	4,880,570.51	25.26%
TexSTAR	4,570,625.33	23.66%
TexPool	4,870,621.31	25.21%
Total	<u>\$ 19,321,817.15</u>	<u>100.00%</u>

Pooled Bond Fund-		
Government Agencies	\$ 113,115,768.50	61.20%
Government Treasuries	4,064,157.61	2.20%
Municipal Bonds	9,443,563.70	5.11%
Commercial Paper	12,979,667.80	7.02%
TexasTERM CP	-	0.00%
TexasDAILY	18,208,756.96	9.85%
TexSTAR	14,136,782.62	7.65%
TexPool	12,886,939.29	6.97%
Total	<u>\$ 184,835,636.48</u>	<u>100.00%</u>

Other-		
Certificates of Deposit	\$ 403,918.88	100.00%
	<u>\$ 403,918.88</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
Combined Portfolio-			
Government Agencies	\$ 351,193,600.66	71.28%	75.00%
Government Treasuries	10,160,394.02	2.06%	100.00%
Municipal Bonds	13,607,523.65	2.76%	20.00%
Certificates of Deposit	404,920.70	0.08%	50.00%
Commercial Paper	21,667,896.21	4.40%	20.00%
TexasTERM CP	5,000,000.00	1.01%	20.00%
Texas DAILY	29,045,556.38	5.90%	30.00%
TexSTAR	35,857,594.29	7.28%	30.00%
TexPool	25,736,158.67	5.22%	50.00%
Total	<u>\$ 492,673,644.58</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	5,000,000.00	1.01%	
Texas DAILY	29,045,556.38	5.90%	
TexSTAR	35,857,594.29	7.28%	
TexPool	25,736,158.67	5.22%	
	<u>\$ 95,639,309.34</u>	<u>19.41%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
City of Conroe GO	\$ 132,958.08	0.05%	5.00%
Corpus Christi GO	\$ 1,845,386.43	0.64%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.76%	5.00%
	<u>\$ 4,163,959.95</u>	<u>1.45%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
City of Austin IP GO	\$ 3,355,093.48	1.82%	5.00%
City of Austin GO UNLTD	\$ 1,560,060.67	0.84%	5.00%
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	2.45%	5.00%
	<u>\$ 9,443,563.70</u>	<u>5.11%</u>	<u>20.00%</u>
Total Portfolio-			
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	0.92%	5.00%
City of Austin GO	\$ 4,915,154.15	1.00%	5.00%
City of Conroe GO	\$ 132,958.08	0.03%	5.00%
Corpus Christi GO	\$ 4,031,001.87	0.82%	5.00%
	<u>\$ 13,607,523.65</u>	<u>2.76%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
ING Funding 10/17/13	\$ 6,692,311.75	2.32%	5.00%
FCAR II	\$ 1,995,916.66	0.69%	5.00%
Total Operating	<u>\$ 8,688,228.41</u>	<u>2.32%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
ING Funding 10/17/13	\$ 4,998,583.35	2.70%	5.00%
FCAR II	\$ 7,981,084.45	4.32%	5.00%
	<u>\$ 12,979,667.80</u>	<u>7.02%</u>	<u>20.00%</u>
Total Portfolio-			
ING Funding	\$ 11,690,895.10	2.37%	5.00%
FCAR II	\$ 9,977,001.11	2.03%	5.00%
Total Combined Portfolio	<u>\$ 21,667,896.21</u>	<u>4.40%</u>	<u>20.00%</u>