

ITEM # C2

STATE OF TEXAS)
)
COUNTY OF TRAVIS)

Whereas, it appears to the Commissioners Court of Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Commissioners Court hereby orders

- 1.) that the County Treasurer of Travis County, Texas execute the investment of these funds in the total amount of \$10,022,420.88 in legally authorized securities as stipulated in the Travis County Investment Policy for the periods as indicated in Attachment A which consists of 14 pages.
- 2.) that the County Treasurer take and hold in safekeeping these investment instruments, relinquishing same only by order of the Court or for surrender at maturity.

Date: October 8, 2013

COUNTY JUDGE

COMMISSIONER, PRECINCT 1

COMMISSIONER, PRECINCT 2

COMMISSIONER, PRECINCT 3

COMMISSIONER, PRECINCT 4

ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 9/30/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexasDAILY	FUND NAME:	OPERATING ACCOUNT
PAR VALUE:	\$ 79,800.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0500%
PRINCIPAL:	\$ 79,800.00	PURCHASED THROUGH:	TexasDAILY
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	\$ 79,800.00 =====	CUSIP #:	N/A
TRADE DATE:	9/30/2013	SETTLEMENT DATE:	9/30/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexasDAILY

PAR VALUE:\$79,800.00

SETTLEMENT DATE:09/30/2013

INTEREST RATE:0.0500

FUND#	FUND NAME	AMOUNT INVESTED
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899	OPERATING ACCOUNT	\$79,800.00
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TOTAL DUE:		\$79,800.00
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/1/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	POOLED BOND
PAR VALUE:	5,002,527.40	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0362%
PRINCIPAL:	5,002,527.40	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	5,002,527.40	CUSIP #:	N/A
TRADE DATE:	10/1/2013	SETTLEMENT DATE:	10/1/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$5,002,527.40

SETTLEMENT DATE:10/01/2013

INTEREST RATE:0.0362

FUND#	FUND NAME	AMOUNT INVESTED
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897	POOLED BOND FUND	\$5,002,527.40
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TOTAL DUE:		\$5,002,527.40
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/1/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	TC DEBT SERVICE
PAR VALUE:	1,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0362%
PRINCIPAL:	1,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	1,000.00	CUSIP #:	N/A
TRADE DATE:	10/1/2013	SETTLEMENT DATE:	10/1/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$1,000.00

SETTLEMENT DATE:10/01/2013

INTEREST RATE:0.0362

FUND#	FUND NAME	AMOUNT INVESTED
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397	DEBT SERV TAX BDS	\$1,000.00
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TOTAL DUE:		\$1,000.00
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/1/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TEXPOOL	FUND NAME:	INTEREST & SINKING
PAR VALUE:	10,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0362%
PRINCIPAL:	10,000.00	PURCHASED THRU:	TEXPOOL
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	10,000.00	CUSIP #:	N/A
TRADE DATE:	10/1/2013	SETTLEMENT DATE:	10/1/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TEXPOOL

PAR VALUE:\$10,000.00

SETTLEMENT DATE:10/01/2013

INTEREST RATE:0.0362

FUND#	FUND NAME	AMOUNT INVESTED
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394	CONS.DEBT SERVICE	\$10,000.00
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TOTAL DUE:		\$10,000.00
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ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 10/3/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	TexSTAR	FUND NAME:	INTEREST & SINKING
PAR VALUE:	19,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0397%
PRINCIPAL:	19,000.00	PURCHASED THRU:	TexSTAR
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	19,000.00	CUSIP #:	N/A
TRADE DATE:	10/3/2013	SETTLEMENT DATE:	10/3/2013

AUTHORIZED BY:


CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexSTAR

PAR VALUE:\$19,000.00

SETTLEMENT DATE:10/03/2013

INTEREST RATE:0.0397

FUND#	FUND NAME	AMOUNT INVESTED
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394	CONS.DEBT SERVICE	\$19,000.00
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TOTAL DUE: \$19,000.00

ATTACHMENT A

TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM

DATE: 8/30/2013

TIME: 11:30 AM

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	CITY OF AUSTIN PUBLIC IMPT GO LTD	FUND NAME:	POOLED BOND
PAR VALUE:	\$ 3,295,000.00	SAFEKEEPING NO:	P 31131
COUPON RATE:	2.000%	PRICE:	101.646000
MATURITY DATE:	9/1/2014	US TREASURY CONVENTION YLD	0.1910%
PRINCIPAL:	\$ 3,349,235.70	PURCHASED THROUGH:	DREXEL HAMILTON
ACCRUED INT:	\$ 5,857.78	BROKER:	FRED PHELAN
TOTAL DUE:	\$ 3,355,093.48	CUSIP #:	052396V39
TRADE DATE:	8/30/2013	SETTLEMENT DATE:	10/3/2013

AUTHORIZED BY:



ATTACHMENT A

DESCRIPTION:		0 CITY OF AUSTIN	BROKER:	DREXEL HAMILTON FRED PHELAN
PAR VALUE:	\$	3,295,000.00	CUSIP:	052396V39
SETTLEMENT DATE:		10/3/2013	YIELD:	0.1910%
MATURITY DATE:		9/1/2014	COUPON RATE:	2.000%

FUND#	FUND NAME		AMOUNT INVESTED
897	POOLED BOND	\$	3,349,235.70
TOTAL DUE:		\$	3,355,093.48

ATTACHMENT A

**TRAVIS COUNTY
INVESTMENT DEPARTMENT
SECURITY TRANSACTION FORM**

DATE: 10/4/2013

TIME: 9:30

The following transaction was executed on behalf of Travis County:

DESCRIPTION:	<u>TexasDAILY</u>	FUND NAME:	<u>OPERATING ACCOUNT</u>
PAR VALUE:	<u>\$ 1,555,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE:	<u>N/A</u>	BOND EQ. YIELD:	<u>0.0400%</u>
PRINCIPAL:	<u>\$ 1,555,000.00</u>	PURCHASED THROUGH:	<u>TexasDAILY</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>\$ 1,555,000.00</u> =====	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>10/4/2013</u>	SETTLEMENT DATE:	<u>10/4/2013</u>

AUTHORIZED BY:



CASH/INVESTMENT MANAGER

ATTACHMENT A

DESCRIPTION: TexasDAILY

PAR VALUE:\$1,555,000.00

SETTLEMENT DATE:10/04/2013

INTEREST RATE:0.0400

FUND#	FUND NAME	AMOUNT INVESTED
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899	OPERATING ACCOUNT	\$1,555,000.00
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TOTAL DUE:		\$1,555,000.00
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TRAVIS COUNTY INVESTMENT REPORT
 PORTFOLIO STATISTICS
 DATE: October 4, 2013

By Fund Type		
Operating	\$ 285,182,774.51	58.14%
Debt Service	19,223,817.15	3.92%
Pooled Bond Fund	185,679,682.65	37.86%
Other	403,918.88	0.08%
Total Portfolio	<u>\$ 490,490,193.19</u>	<u>100.00%</u>

By Security Type		
Operating-		
Government Agencies	\$ 238,077,832.16	83.48%
Government Treasuries	6,096,236.41	2.14%
Municipal Bonds	4,163,959.95	1.46%
Certificates of Deposit	1,001.82	0.00%
Commercial Paper	8,688,228.41	3.05%
TexasTERM CP	-	0.00%
TexasDAILY	15,163,731.35	5.32%
TexSTAR	5,477,186.34	1.92%
TexPool	7,514,598.07	2.64%
Total	<u>\$ 285,182,774.51</u>	<u>100.00%</u>

Debt Service-		
Government Agencies	\$ -	0.00%
Commercial Paper	-	0.00%
TexasTERM CP	5,000,000.00	26.01%
TexasDAILY	4,880,570.51	25.39%
TexSTAR	4,525,625.33	23.54%
TexPool	4,817,621.31	25.06%
Total	<u>\$ 19,223,817.15</u>	<u>100.00%</u>

Pooled Bond Fund-		
Government Agencies	\$ 113,115,768.50	60.92%
Government Treasuries	4,064,157.61	2.19%
Municipal Bonds	7,883,503.03	4.25%
Commercial Paper	12,979,667.80	6.99%
TexasTERM CP	-	0.00%
TexasDAILY	19,768,817.63	10.65%
TexSTAR	14,136,782.62	7.61%
TexPool	13,730,985.46	7.39%
Total	<u>\$ 185,679,682.65</u>	<u>100.00%</u>

Other-		
Certificates of Deposit	\$ 403,918.88	100.00%
Total	<u>\$ 403,918.88</u>	<u>100.00%</u>

Summary	Investment	Actual	Guidelines
Combined Portfolio-			
Government Agencies	\$ 351,193,600.66	71.60%	75.00%
Government Treasuries	10,160,394.02	2.07%	100.00%
Municipal Bonds	12,047,462.98	2.46%	20.00%
Certificates of Deposit	404,920.70	0.08%	50.00%
Commercial Paper	21,667,896.21	4.42%	20.00%
TexasTERM CP	5,000,000.00	1.02%	20.00%
Texas DAILY	39,813,119.49	8.12%	30.00%
TexSTAR	24,139,594.29	4.92%	30.00%
TexPool	26,063,204.84	5.31%	50.00%
Total	<u>\$ 490,490,193.19</u>	<u>100.00%</u>	

All Local Government Investment Pools in Total:	Investment	Actual	Guidelines
TexasTERM CP	5,000,000.00	1.02%	
Texas DAILY	39,813,119.49	8.12%	
TexSTAR	24,139,594.29	4.92%	
TexPool	26,063,204.84	5.31%	
	<u>\$ 95,015,918.62</u>	<u>19.37%</u>	60.00%

Municipal Bonds by Entity as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
City of Conroe GO	\$ 132,958.08	0.05%	5.00%
Corpus Christi GO	\$ 1,845,386.43	0.65%	5.00%
Corpus Christi GO	\$ 2,185,615.44	0.77%	5.00%
	<u>\$ 4,163,959.95</u>	<u>1.46%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
City of Austin IP GO	\$ 3,355,093.48	1.81%	5.00%
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	2.44%	5.00%
	<u>\$ 7,883,503.03</u>	<u>4.25%</u>	<u>20.00%</u>
Total Portfolio-			
Brick TWP, NJ GO UNLTD	\$ 4,528,409.55	0.92%	5.00%
City of Austin IP GO	\$ 3,355,093.48	0.68%	5.00%
City of Conroe GO	\$ 132,958.08	0.03%	5.00%
Corpus Christi GO	\$ 4,031,001.87	0.82%	5.00%
	<u>\$ 12,047,462.98</u>	<u>2.46%</u>	<u>20.00%</u>

Commercial Pater by Firm as a Percentage of Portfolio	Investment	Actual	Guidelines
Operating Portfolio-			
ING Funding 10/17/13	\$ 6,692,311.75	2.35%	5.00%
FCAR II	\$ 1,995,916.66	0.70%	5.00%
Total Operating	<u>\$ 8,688,228.41</u>	<u>2.35%</u>	<u>20.00%</u>
Pooled Bond Portfolio			
ING Funding 10/17/13	\$ 4,998,583.35	2.69%	5.00%
FCAR II	\$ 7,981,084.45	4.30%	5.00%
	<u>\$ 12,979,667.80</u>	<u>6.99%</u>	<u>20.00%</u>
Total Portfolio-			
ING Funding	\$ 11,690,895.10	2.38%	5.00%
FCAR II	\$ 9,977,001.11	2.03%	5.00%
Total Combined Portfolio	<u>\$ 21,667,896.21</u>	<u>4.42%</u>	<u>20.00%</u>